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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark S. Moir et al.

Title: OBSTRUCTION-FREE SYNCHRONIZATION FOR SHARED DATA  
STRUCTURES

Application No.: 10/620,748

Filed: July 16, 2003

Examiner: Lewis Alexander  
Bullock Jr.

Group Art Unit: 2195

Atty. Docket No.: 004-8252

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COMMISSIONER FOR PATENTS  
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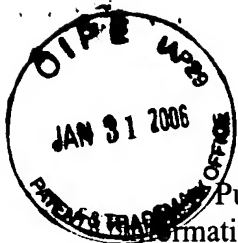
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Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

- ☐ Form(s) PTO/SB/08A (N/A page(s), with copy of no reference(s)).
- ☒ Form(s) PTO/SB/08B (1 page(s), with copy of 10 reference(s)).
- ☐ Listing of Pending Applications (N/A page(s), with copy of no application(s)).
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For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

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- ☐ Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

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- ☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
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Kimberley Elcess  
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27-Jan-06  
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Respectfully submitted,

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PTO/SB/08B (Substitute for form 1449/PTO)	Attorney Docket No.: 004-8252
	Application No.: 10/620,748
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	First Named Inventor: Mark S. Moir
	Filing Date: July 16, 2003
	Group Art Unit: 2195
	Examiner Name: Lewis Alexander Bullock, Jr.
	Date Submitted: January 27, 2006

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	AGESEN, Ole et al., "An Efficient Meta-Lock for Implementing Ubiquitous Synchronization," ACM SIGPLAN Notices, vol. 34, no. 10, pp. 207-222, October 1999.	
	2	ANDERSON, James H. et al., "Universal Constructions for Large Objects," <i>IEEE Transactions on Parallel and Distributed Systems</i> , vol. 10, no. 12, pp. 1317-1332, 1999.	
	3	FAROOK, Mohammad et al., "Managing Long Linked Lists Using Lock Free Techniques" in <i>High Performance Computing Systems and Applications, Chapter 37</i> (pp. 407-422), Kluwer Academic Publishers, October, 1998.	
	4	MELLOR-CRUMMEY, J., et al., "Algorithms for Scalable Synchronization on Shared-Memory Multiprocessors," <i>ACM Transactions on Computer Systems</i> , vol. 9, no. 1, pp. 21-65, February 1991.	
	5	PRAKASH, Sundeep et al., "Non-Blocking Algorithms for Concurrent Data Structures," Technical Report 91-002, University of Florida, July 1, 1991 [URL: <a href="http://citeseer.ist.psu.edu/prakash91nonblocking.html">http://citeseer.ist.psu.edu/prakash91nonblocking.html</a> ].	
	6	PRAKASH, Sundeep et al., "A Nonblocking Algorithm for Shared Queues Using Compare-and-Swap," <i>IEEE Transactions on Computers</i> , vol. 43, no. 5, pp. 548-559, May 1994.	
	7	SHANN, Chien-Hua et al., "A Practical Nonblocking Queue Algorithm Using Compare-and-Swap," <i>Proceedings of the Seventh International Conference on Parallel and Distributed Systems</i> p. 470, IEEE Computer Society, Washington, D.C., 2000.	
	8	SHAVIT, N., et al., "Elimination Trees and the Construction of Pools and Stacks," <i>Theory of Computing Systems</i> , vol. 30, pp. 645-670, 1997.	
	9	STONE, Janice M., "A simple and correct shared-queue algorithm using Compare-and-Swap," <i>Proceedings of the 1990 ACM/IEEE Conference on Supercomputing</i> , pp. 495-504, IEEE Computer Society, New York, NY, 1990.	
	10	VALOIS, John D., "Lock-Free Linked Lists Using Compare-and-Swap," <i>Proceedings of the Fourteenth ACM Symposium on Principles of Distributed Computing</i> , pp. 214-222, ACM Press, New York, NY 1995.	
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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